Frictional weakening leads to unconventional singularities during dynamic rupture propagation 2

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Abstract 4

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Earthquakes i.e. frictional ruptures, are commonly described by singular solutions of shear crack motions. These solutions assume a square root singular-6 ity order around the rupture tip and a constant shear stress value behind it, 7 implying scale-independent edge-localized energy. However, recent observations of large-scale thermal weakening accompanied by decreasing shear stress 9 potentially affecting the singularity order can challenge this assumption. In 10 this study, we replicate earthquakes in a laboratory setting by conducting 11 stick-slip experiments on PMMA samples under normal stress ranging from 12 1 to 4 MPa. Strain gauges rosettes, located near the frictional interface, 13 are used to analyze each rupture event, enabling the investigation of shear 14 stress evolution, slip velocity, and material displacement as a function of dis-15 tance from the rupture tip. Our analysis of the rupture dynamics provides 16 compelling experimental evidence of frictional rupture driven by enhanced 17 thermal weakening. The observed rupture fronts exhibit unconventional sin-18 gularity orders and display slip-dependent breakdown work (on-fault dissi-19 pated energy). Moreover, these findings elucidate the challenges associated 20

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with a priori estimating the energy budget controlling the velocity and final extent of a seismic rupture, when thermal weakening is activated during
seismic slip.

24 1. Introduction

Frictional rupture phenomena, including natural earthquakes, are often 25 described by singular solutions of shear crack motions (Freund, 1979; Palmer 26 and Rice, 1973; Rice, 1980). For such cracks, the stress field at the rupture 27 tip is described by a square root singularity ($\xi = -0.5$), constant residual 28 stress is expected far behind the rupture tip, and the energy balance condi-29 tion equates the energy release rate G (i.e. rupture growth driving force) to 30 a constant value of fracture energy $G_{\rm c}$ (i.e. resistance to rupture growth). 31 This was confirmed by experimental and numerical observations, where the 32 onset of frictional sliding, the evolution of the rupture speed, and the rupture 33 length were predicted by Linear Elastic Fracture Mechanics (LEFM) (Bayart 34 et al., 2016; Kammer et al., 2015; Kammer and McLaskey, 2019; Svetlizky 35 and Fineberg, 2014; Xu et al., 2019), and suggesting that the fracture energy 36 controlling the dynamics of the rupture tip might be an interface property. 37 Such an analysis often relies on the hypothesis of negligible frictional weak-38 ening far away from the rupture tip (i.e. outside of the cohesive zone). 39

However, it is widely recognized that fault shear stress is likely to evolve
during seismic slip due to (i) velocity and slip dependencies (Marone, 1998),
(ii) activation of thermal weakening processes (Di Toro et al., 2011; Hirose and Shimamoto, 2005; Rice, 2006), (iii) dilatancy inducing fluid pressure changes (Brantut, 2020; Rice and Rudnicki, 1979; Segall et al., 2010).

These changes in the residual stress behind the rupture tip could induce a 45 slip dependency of the apparent fracture energy (nowadays more commonly 46 called breakdown work (Tinti et al., 2005)) estimated for natural earthquakes 47 (Abercrombie and Rice, 2005; Lambert and Lapusta, 2020), in contrast to 48 the LEFM definition. The breakdown work (W_{bd}) is a quantity commonly 49 used to study the energy balance of earthquakes and is defined as an energy 50 term including all on-fault dissipative processes $W_{\rm bd} = \int_0^{D_{\rm fin}} \tau - \tau_{\rm min} dD$, with 51 τ the shear stress acting on the fault, τ_{\min} the minimum shear stress reached 52 on-fault, and D the fault slip. It can be observed that, by definition, $W_{\rm bd}$ 53 is a slip-dependent quantity. It is therefore important to be aware of how 54 possible stress weakening may affect rupture dynamics and the energy release 55 that controls it. 56

In these regards, our recent work highlighted that a long-tailed weakening 57 can emerge after a first rapid weakening during frictional rupture experiments 58 (Paglialunga et al., 2022), resulting in a slip-dependent breakdown work. 59 Despite this observation, the rupture dynamics, analyzed through LEFM, 60 showed to be controlled by a constant fracture energy $G_{\rm c}$, in agreement with 61 previous studies (Bayart et al., 2016; Kammer et al., 2015; Kammer and 62 McLaskey, 2019; Svetlizky and Fineberg, 2014; Xu et al., 2019). However, 63 analyzing such frictional ruptures in the framework of LEFM relies on the 64 assumption of constant residual stress behind the rupture tip. The observed 65 long-tailed weakening could call into question this assumption and limit the 66 framework's applicability to fully describe frictional ruptures, explaining the 67 observed mismatch between $G_{\rm c}$ and $W_{\rm bd}$ (Paglialunga et al., 2022). 68



Moreover, theoretical studies have shown that continuous stress weaken-

ing can modify the singularity order controlling the stress and displacement 70 fields around the rupture tip, deviating from the square-root singularity com-71 monly adopted in LEFM, and leading to an unconventional singularity order 72 $(\xi \neq -0.5)$ (Garagash et al., 2011; Viesca and Garagash, 2015; Brantut and 73 Viesca, 2017; Brener and Bouchbinder, 2021b). In particular, when fric-74 tional ruptures are described by $\xi \neq -0.5$, the stress (σ) and displacement 75 (u) fields obey respectively the following scaling relationships (Brener and 76 Bouchbinder, 2021b): $\sigma \approx K^{(\xi)}r^{\xi}$ and $u \approx K^{(\xi)}r^{(\xi+1)}/\mu$, with $K^{(\xi)}$ the ξ -77 generalized dynamic stress intensity factor, $r = x - x_{tip}$ the distance from 78 the rupture tip, and μ the dynamic shear modulus. These lead to the follow-79 ing relation: $W_{\rm bd} \sim [K^{(\xi)}]^2 r^{(1+2\xi)}/\mu$, valid for $r > x_{\rm c}$, with $x_{\rm c}$ the cohesive 80 zone size (eq.5 from (Brener and Bouchbinder, 2021b)). From this relation, it 81 can be easily noticed that for $\xi = -0.5$, the $W_{\rm bd}$ dependence on r completely 82 vanishes, making the breakdown work independent of the distance from the 83 rupture tip. This does not happen when $\xi \neq -0.5$, for which $W_{\rm bd}$ has a 84 direct dependence on r. 85

So far, the occurrence of such unconventional singularities during fric-86 tional ruptures has not been measured at the laboratory scale. In this paper, 87 we present new data analyzed in an a recently-derived theoretical frame-88 work, demonstrating the first experimental evidence of strain and stress per-89 turbation caused by unconventional singularities associated with velocity-90 dependent frictional weakening. These experimental findings are supported 91 by theoretical explanations about the emergence of unconventional singular 92 fields during dynamic rupture. 93

94 2. Methods

We performed stick-slip experiments in a biaxial apparatus working in a 95 2D single shear configuration under an applied normal stress ranging from 96 1 to 4 MPa (Figure 1 a). The experimental setup is the same one used and 97 described in (Paglialunga et al., 2022). The tested samples consist of two 98 polymethylmethacrylate (PMMA) blocks of dimensions (20x10x3) cm (top 99 block) and (50x10x3) cm (bottom block), generating, once put into contact, 100 an artificial fault of (20x3) cm. The external loading is imposed using two 101 hydraulic pumps. The normal load is applied to the top block and kept 102 constant while the shear load is manually increased and applied to the bottom 103 block inducing, once reached the fault strength, stick-slip events. Strain gages 104 rosettes (oriented along $45\circ$, $90\circ$, $135\circ$ to the fault plane), located 1 mm away 105 from the frictional interface, were used to compute the local strain and stress 106 tensors. The strain tensor rotation was obtained through the conversion of 107 $\varepsilon_1, \varepsilon_2, \varepsilon_3$ into $\varepsilon_{xx}, \varepsilon_{xy}, \varepsilon_{yy}$ following: 108

$$\varepsilon_{\rm xy} = \frac{\varepsilon_3 - \varepsilon_2}{2},\tag{1}$$

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$$\varepsilon_{\rm yy} = \varepsilon_1,$$
 (2)

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$$\varepsilon_{\rm xx} = \varepsilon_3 + \varepsilon_2 - \varepsilon_1 \tag{3}$$

Assuming plane stress conditions, the stress tensor was computed through the elastic properties of PMMA. The local strain temporal evolution shows clear perturbations concurrent with stick-slips (Figure 1 b). By zoomingin in time, details of the instability can be caught (Figure 1 c), showing a first (main) rupture front, followed by a series of secondary fronts probably

caused by rupture reflections at the fault edges. To study the rupture dy-116 namics, only the main front was considered in the present study, selecting a 117 time window around the first strain perturbation (Figure 1 d, e). Note that 118 the following analysis and discussions will exclusively focus on the dynamics 119 of the main rupture front for each stick-slip event, and all the experimental 120 curves that will be shown will refer to a defined time window, systematically 121 smaller than the expected propagation time along the fault interface (for ex-122 ample, the rupture showed in Figure 1 e is described by a temporal window 123 of ~ 45 μs). The rupture propagation velocity ($C_{\rm f}$) was estimated by com-124 puting the ratio between the distance among the strain gauge locations and 125 the rupture front travel time from one location to the other. For each event, 126 the particle velocity was then computed through the strain component par-127 allel to the slip direction as $\dot{u}_{\rm x} = -C_{\rm f}\varepsilon_{\rm xx}$. This estimate has been shown to 128 be comparable to distinct measurements of slip motions associated with the 129 propagation of the seismic rupture in previous experimental studies (Svetl-130 izky and Fineberg, 2014; Paglialunga et al., 2022). The fault slip velocity was 131 considered equal to twice the particle velocity measured through the strain 132 gauges $(V = 2\dot{u}_x)$, assuming an antisymmetrical distribution of slip and slip 133 rate. This assumption seems legitimate given that the two samples have 134 comparable dimensions, the same width, and are made of the same material. 135 Integrating V during the propagation time, local material displacement could 136 be estimated as well (u_x) . The slip displacement (D) of the fault is computed 137 as twice (refer to the assumption described just above) the local displacement 138 $(D = 2u_x)$ assuming the material displacement measured through the strain 139 gauge 1 mm away from the fault is comparable to the one occurring on-fault. 140



Figure 1: a. Experimental setup - Direct shear biaxial apparatus with PMMA samples generating an artificial fault. Strain gauges rosettes are located along the fault at a distance of 1-1.5 mm from the fault plane. b. Temporal evolution of vertical strain (obtained through high-frequency strain gauges acquisition system) at the three different locations along the fault. When the fault experiences instability, the shear rupture propagates along the interface and causes a strain perturbation concurrent with the passage of the front (indicated by the blue arrows). Yellow shaded areas indicate the time window selection shown in the following panel. c. Zoom-in of (b). d. Zoom-in of (.c) The red curve indicates the strain gauge location shown in panel (e). e. Vertical strain temporal evolution for the central location. Please note that the y-axis and x-axis limits change for each panel.



Figure 2: Elastic fields around the rupture tip. Evolution of a. shear stress computed from the measured shear strain (ε_{xy}), b. slip velocity computed from the measured horizontal strain (ε_{xx}), and c. material displacement computed from the estimated slip velocity for several events presenting different $C_{\rm f}$ (colorbar).

141 3. Results

Each rupture event was studied through the evolution of shear stress, slip 142 velocity, and material displacement as a function of the distance from the 143 rupture tip (Fig.2). In all the studied events, local shear stress evolution 144 exhibited an increase ahead of the rupture tip followed by a first significant 145 decrease within the first micrometers of slip and a second mild one within 146 larger distances (Fig.2a) as recently observed (Paglialunga et al., 2022). A 147 rapid increase of slip velocity was observed concurrent with the passage of 148 the rupture front, followed by a slow decay occurring with distance from the 149 rupture tip. The peak slip velocity (V_{max}) showed a clear dependence with 150 estimated rupture speed, with \sim 0.08 m/s for $C_{\rm f}$ \approx 220 m/s up to \sim 0.8 151 m/s for $C_{\rm f} \approx 840$ m/s (Fig.2b). The evolution of material displacement $(u_{\rm x})$ 152

presented values close to 0 m ahead of the rupture tip (values slightly deviate 153 from 0 due to off-fault measurement) and a sharp increase behind it (Fig.2c), 154 with final displacements ranging between 3.9 and 28 μm . Subsequently, the 155 fault strength weakening was analyzed through the evolution of the local 156 shear stress (τ) with the fault's slip displacement (D). The fault's weakening 157 presents a sharp decrease of shear stress occurring within the first microns of 158 slip, followed by a milder decrease occurring within a larger amount of slip 159 (Fig.3a). The breakdown work evolution was computed as 160

$$W_{\rm bd} = \int_{D((x-x_{\rm tip})=0)}^{D} (\tau - \tau(D)) \, dD \tag{4}$$

where $D((x - x_{tip}) = 0)$ is the displacement at the passage of the rupture tip. 161 Since no slip is expected to occur ahead of the rupture tip on the fault plane 162 $(C_{\rm f} = 0$ when $(x - x_{\rm tip}) > 0)$, the breakdown work evolution was computed 163 only from slip occurring after the passage of the rupture tip $(x - x_{tip}) = 0$, 164 neglecting fictitious contributions due to elastic strain of the bulk at the 165 measurement location. The evolution of $W_{\rm bd}$ showed a first increase with 166 slip described by a slope close to 1 : 2 and a subsequent increase described 167 by a slope of $\sim 1: 0.6(\pm 0.1)$ (Fig.3b), suggesting the existence of anomalous 168 singularities ($\xi \neq -0.5$). The power law exponent was measured by fitting 169 the evolution of $W_{\rm bd}$ with D for $D > D_{\rm c}$ with a first-degree polynomial. 170 Then, ξ was derived from the power law exponents estimates through (Brener 171 and Bouchbinder, 2021a): $W_{\rm bd}(D) = G_{\rm c} \left(\frac{D}{D_{\rm c}}\right)^{\left(\frac{1+2\xi}{1+\xi}\right)}$, finding values ranging 172 between -0.4 and -0.2 (Fig.3c). 173



Figure 3: Slip-dependent breakdown work and the emergence of unconventional singularities. a. Evolution of $(\tau - \tau_{\rm res})$ with D defining the fault's weakening for different events. The integration of these curves leads to the evolution of $W_{\rm bd}$ with D for different $C_{\rm f}$ (b). c. Evolution of estimated ξ values with peak slip velocity ($V_{\rm max}$).

4. Theoretical modeling of the kinematic fields around the rupture tip for unconventional singularity order

While the first increase of breakdown work with slip can be explained by 176 a slip-weakening behavior of the fault, the subsequent increase (power law of 177 1:0.6) is unexpected from the conventional theory of LEFM. If such a con-178 tinuous weakening stage controlled the dynamics of the rupture, stress fields 179 with a scaling $\sigma \propto r^{\xi}$ should be observed behind the rupture tip, as expected 180 from theoretical studies (Brantut and Viesca, 2017; Brener and Bouchbinder, 181 2021b; Garagash et al., 2011; Viesca and Garagash, 2015), with the singular-182 ity order ξ different from the square root singularity. To further investigate 183 the dynamics of rupture, the temporal evolution of the strain perturbations 184 generated by the passage of the rupture front $(\Delta \varepsilon_{xy}, \Delta \varepsilon_{xx})$ was compared 185

to the theoretical predictions obtained considering both a square root singularity (LEFM) and an unconventional singularity (Brener and Bouchbinder,
2021b).

For the LEFM theoretical prediction, the stress field perturbation around the rupture tip takes the following general form (for a detailed description please refer to (Freund, 1998; Anderson, 2017)):

$$\Delta \sigma_{ij}(r,\theta) = \frac{K_{\rm II}}{\sqrt{2\pi r}} \Sigma_{\rm ij}^{II}(\theta, C_{\rm f})$$
(5)

where K_{II} the stress intensity factor, and $\Sigma_{\text{ij}}^{II}(\theta, C_{\text{f}})$ the angular variation function. Coordinates are expressed in the polar system with (r, θ) respectively the distance from the crack tip and the angle to the crack's plane.

In the unconventional theory framework, the stress fields were derived from the elastodynamic equations assuming a steady-state rupture velocity. The equations obtained present the following form:

$$\sigma_{xx}(r,\theta) = \frac{2(\xi+1)K_{\rm II}^{(\xi)}}{\sqrt{2\pi}R(C_{\rm f})} [2\alpha_{\rm s}(1-\alpha_{\rm s}^2+2\alpha_{\rm d}^2)r_{\rm d}^{\xi}\sin(\xi\theta_{\rm d}) - 2\alpha_{\rm s}(1+\alpha_{\rm s}^2)r_{\rm s}^{\xi}\sin(\xi\theta_{\rm s})],\tag{6}$$

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$$\tau(r,\theta) = \frac{2(\xi+1)K_{\rm II}^{(\xi)}}{\sqrt{2\pi}R(C_{\rm f})} [4\alpha_{\rm s}\alpha_{\rm d}r_{\rm d}^{\xi}\cos(\xi\theta_{\rm d}) - (1+\alpha_{\rm s}^2)^2 r_{\rm s}^{\xi}\cos(\xi\theta_{\rm s})], \quad (7)$$

$$\sigma_{yy}(r,\theta) = \frac{2(\xi+1)K_{\rm II}^{(\xi)}}{\sqrt{2\pi}R(C_{\rm f})} [-2\alpha_{\rm s}(1+\alpha_{\rm s}^2)r_{\rm d}^{\xi}\sin(\xi\theta_{\rm d}) - 2\alpha_{\rm s}(1+\alpha_{\rm s}^2)r_{\rm s}^{\xi}\sin(\xi\theta_{\rm s})].$$
(8)

with $K_{\text{II}}^{(\xi)} = \lim_{r \to 0} \left(\frac{(2\sqrt{2\pi})}{(\xi+1)} r^{-\xi} \tau(r, 0^{+-}) \right)$ the ξ -generalized stress intensity factor, $\alpha_{\text{d}} = 1 - \left(\frac{C_{\text{f}}}{C_{\text{d}}} \right)^2$, $\alpha_{\text{s}} = 1 - \left(\frac{C_{\text{f}}}{C_{\text{s}}} \right)^2$, where $(C_{\text{d}}, C_{\text{s}})$ are respectively the P-wave and S-wave velocity, and $R(C_{\text{f}}) = 4\alpha_{\text{d}}\alpha_{\text{s}} - (1 + \alpha_{\text{s}}^2)^2$ the Rayleigh function. (r, θ) are corrected for the distortion induced by the dynamic rupture velocity C_{f} , becoming $\theta_{\text{d}} = \arctan(\alpha_{\text{d}}\tan(\theta))$, $\theta_{\text{s}} = \arctan(\alpha_{\text{s}}\tan(\theta))$ and $r_{\text{d}} = r\sqrt{1 - \left(\frac{C_{\text{f}}\sin(\theta)}{C_{\text{d}}}\right)^2}$, $r_{\text{s}} = r\sqrt{1 - \left(\frac{C_{\text{f}}\sin(\theta)}{C_{\text{s}}}\right)^2}$. The displacement field related to the unconventional rupture phenomenon can be predicted by (Brener and Bouchbinder, 2021b):

$$u_{\rm x}(r,\theta) = \frac{2K_{\rm II}^{(\xi)}}{\mu\sqrt{2\pi}} \tag{9}$$

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$$u_{\rm y}(r,\theta) = \frac{2K_{\rm II}^{(\xi)}}{\mu\sqrt{2\pi}} \left(2\alpha_{\rm s} r_{\rm d}^{(\xi+1)} \sin((\xi+1)\theta_{\rm d}) - \alpha_{\rm s}(1+\alpha_{\rm s}^2) r_{\rm s}^{(\xi+1)} \sin((\xi+1)\theta_{\rm s}) \right).$$
(10)

The values of ξ used to describe the experimental curves were obtained through the measured evolution of $W_{\rm bd}$ with D as discussed earlier (Brener and Bouchbinder, 2021b). The stress intensity factor was computed as (eq.5 from (Brener and Bouchbinder, 2021a)): $K_{\rm II}^{(\xi)} = \frac{EW_{\rm bd}(D_{\rm fin})}{(1-\nu^2)f_{\rm II}(C_{\rm f})r^{(1+2\xi)}}$, with E, ν respectively the elastic modulus and Poisson's ratio, and $f_{\rm II}(C_{\rm f}) =$ $\frac{\alpha_{\rm s}}{(1-\nu)R(C_{\rm f})}\frac{C_{\rm f}^2}{C_{\rm s}^2}$ the universal function of rupture velocity.

²¹⁵ 5. Description of strain perturbations with theoretical predictions

We now compare the theoretical predictions to experimental strain and 216 displacement evolution of two different frictional ruptures presenting values 217 of $\xi = -0.32, -0.27$, and final values of $W_{\rm bd}$ of 9.5 and 11 J/m², respectively 218 (Fig.3b). This comparison is presented in Fig.4. Note that for both models, 219 i.e. LEFM and unconventional theory, the predictions of strain fail ahead of 220 the rupture tip. This is explained by the fact that these models assume a 221 dynamic rupture driven along an infinite fault by a shear stress equal to the 222 residual stress. As such, they overlook any finite-size effects emerging from 223 the finiteness of the specimen size and the distance to the applied boundary 224 conditions. Moreover, please note that the measurement location was chosen 225

to be the closest possible to the fault plane (strain gauges at $\sim 1 \text{ mm}$), to capture stress and displacement evolution close to the ones occurring on-fault. However, this implies the likelihood to perform measurements within the cohesive zone, expected to be for PMMA around 2-5 mm. This area (indicated in Fig.4a-d with the shaded grey area) was excluded when performing the LEFM fits, given that this model assumes conditions of small scale yielding (dissipation zone small with respect to the other length scales).

The experimental data were compared with the predictions of LEFM ($\xi = -0.5$) inverting G_c from the best possible fit. The inversion and the minimization algorithm employed to obtain the best solution of G_c use simultaneously two strain components ($\Delta \varepsilon_{xx}$, $\Delta \varepsilon_{xy}$) following the method described in previous studies (Svetlizky and Fineberg, 2014) (Fig.4). $\Delta \varepsilon_{xx}$ and $\Delta \varepsilon_{xy}$ are obtained by subtracting the initial strain from ε_{xx} and the residual strain from ε_{xy} .

The best fits output values of $G_{\rm c}$ slightly different from the values of $W_{\rm bd}$ 240 estimated through the integration of the slip stress curves. The LEFM pre-241 dictions do not deviate excessively from the experimental curves for either 242 event, showing an acceptable but not accurate description of the strain per-243 turbations for $\Delta \varepsilon_{xx}$ and $\Delta \varepsilon_{xy}$ (Fig.4a,b). A stronger deviation is observed for 244 $\Delta \varepsilon_{\rm xy}$, particularly in the case of $\xi = -0.27$, independently of the distance 245 from the rupture tip (Fig.4b). In the second stage, predictions accounting 246 for the unconventional model were computed. The values of ξ and $W_{\rm bd}$ mea-247 sured as described in 3 were imposed. The unconventional model returned, 248 for the two events, satisfactory predictions of the evolution of $\Delta \varepsilon_{xx}$ and $\Delta \varepsilon_{xy}$ 249 (Fig.4a,b). It can be noted that the greater the deviation from $\xi = -0.5$, 250



Figure 4: Strain and displacement field described by unconventional singularity for two different events (respectively top and bottom panels). a., b. Comparison of the measured strain perturbations $\Delta \varepsilon_{xx}$ and $\Delta \varepsilon_{xy}$ with the theoretical predictions considering: i) the estimated unconventional singularities respectively ξ =-0.32 (a) and ξ =-0.27 (b), and $G = W_{bd}$ (in black) and ii) the LEFM conventional singularity ξ =-0.5 with $G = G_c$ (the best fit)(in grey). c., d. Evolution of the material displacement (u_x) with predictions for unconventional and conventional singularity. e., f. Comparison of the experimental evolution of breakdown work with slip estimated at gauge location with theoretical predictions for unconventional theory (black solid line) and LEFM (grey solid line).

the greater the disparities between LEFM and the unconventional model (Fig.4a,b). In addition, the prediction obtained for u_x (Fig.4c,d) is close to the experimental evolution in terms of magnitude. However, while u_x evolution is similar within the first microns, the experimental data deviate from the theoretical prediction far behind the rupture tip (Fig.4c,d). The model returned reasonable predictions of u_x for ξ =-0.32, and adequate ones for ξ =-0.27.

Finally, we compare the experimental data to both models' theoretical 258 predictions of the evolution of breakdown work with slip behind the crack 259 tip. Starting from the stress evolution estimates computed for both LEFM 260 and unconventional model, the breakdown work was computed following eq. 261 4. LEFM predictions deviate in both quantity and temporal evolution from 262 the experimental data. On the contrary, the unconventional model provides 263 a good prediction, particularly for $D > D_c$, as expected from the unconven-264 tional theory (Fig.4 e, f). These results highlight that while LEFM provides 265 reasonable estimates of fracture energy, the unconventional theory provides 266 more coherent predictions of breakdown work evolution with slip when en-267 hanced weakening is observed. 268

²⁶⁹ 6. Flash heating as a possible weakening mechanism

These results provide the first complete evidence of unconventional stress fields during the dynamic propagation of laboratory frictional rupture, caused by continuous stress weakening behind the rupture tip. The observed unconventional singularity orders could emerge, among others, from frictional weakening mechanisms such as; thermal activation (Bar-sinai et al., 2014),

viscous friction (Brener and Marchenko, 2002), powder lubrication (Reches 275 and Lockner, 2010), flash heating (Molinari et al., 1999; Rice, 2006; Brantut 276 and Viesca, 2017), thermal pressurization (Rice, 2006; Viesca and Garagash, 277 2015). Among these, flash heating has been shown to be activated under 278 similar experimental conditions (Rubino et al., 2017), and thus could be the 279 best candidate to explain the unconventional stress fields observed in our 280 experiments. Moreover, the high slip rate measured near-fault enhances the 281 activation of flash heating as previously shown (Molinari et al., 1999; Rice, 282 2006; Goldsby and Tullis, 2011). This agrees with the clear dependence of ξ 283 values with maximum slip rate and rupture velocity observed in our events 284 (Fig.3c): higher $V_{\rm max}$ are associated with ξ values that deviate from the 285 conventional value (-0.5). 286

Flash heating is activated when the fault slip velocity becomes higher 287 than a critical weakening slip velocity (V_w) , causing mechanical degradation 288 of contact asperities during their lifetime (Rice, 2006; Goldsby and Tullis, 289 2011). The temperature reached at the asperities was computed trough 290 $T_{\rm asp} = T_{\rm amb} + \frac{1}{(\rho c_{\rm p} \sqrt{k\pi})} \tau_{\rm c} V \sqrt{t_{\rm c}}$ with $T_{\rm amb}$ the ambient initial temperature, 291 $\tau_{\rm c}$ the stress acting on the single asperity, $t_{\rm c}$ the lifetime of a contact, ρ the 292 bulk density, $c_{\rm p}$ the bulk specific heat and k the thermal diffusivity. Under 293 our experimental conditions, the temperature increased with slip velocity, ex-294 ceeding the material's melting temperature $(T_{\rm asp} > T_{\rm melting} = 160^{\circ})$ (Fig.5a, 295 b), and indicating that melting of asperities probably occurred in our exper-296 iments (Rubino et al., 2017). We compared the evolution of $W_{\rm bd}$ with D, 297 normalized respectively by $G_{\rm c}$ and $D_{\rm c}$, with asymptotic solutions for flash 298 heating phenomena (Brantut and Viesca, 2017). 299

For $D < D_c$ (small slip), the evolution of $W_{\rm bd}$ can be described by the asymptotic solution derived for adiabatic conditions (Brantut and Viesca, 2017):

$$W_{\rm bd} = \rho c (T_{\rm m} - T_{\rm amb}) w \sqrt{2\pi} \left(\frac{D}{V t_{\rm w}^A + D}\right)^2 \tag{11}$$

where $t_{\rm w}^A = \rho c (T_{\rm m} - T_{\rm f}) / \tau_{\rm a} (\sqrt{2\pi}w) / V_{\rm w}$ (time required for a layer of thickness 303 $\sqrt{2\pi}w$ to reach T_{melting}), w is the fault's width (assumed here as w = 4a with 304 a the asperity size), and $\tau_{\rm a}$ is a normal stress dependent contact shear stress 305 at the origin of the change in temperature in the fault layer (Fig.5c). In 306 presence of gouge along the interface, $\tau_{\rm a}$ will correspond to the macroscopic 307 shear stress τ_0 . Along bare rock interfaces, $\tau_a = \tau_c \frac{a}{\Delta L_{asp}}$, where ΔL_{asp} is 308 the average distance between two asperities (see Annex A for details). Note 309 that this model assumes a constant sliding velocity V. This assumption 310 looks fairly reasonable in our case, as the first part of the stress weakening 311 $(D < D_{\rm c})$ occurs in a very short time window during which V is nearly 312 constant. 313

For $D > D_c$, a second asymptotic solution considering the coupled elastodynamics and frictional motions of the propagating rupture can be used (Brantut and Viesca, 2017):

$$W_{\rm bd} = \tau_{\rm c} D_{\rm w}^{SP} \left(\frac{\mu V_{\rm w}}{3\pi \tau_{\rm a} C_{\rm f}}\right)^{(1/3)} \left(\frac{D}{D_{\rm w}^{SP}}\right)^{(2/3)} \tag{12}$$

where $D_{\rm w}^{SP} = V_{\rm w} \alpha (\frac{\rho c (T_{\rm w} - T_{\rm f})}{\tau_{\rm a} V_{\rm w}})^2$ is a characteristic slip weakening distance. While this asymptotic solution is expected to describe the evolution of breakdown work at a larger seismic slip than the one observed in our experiments, this equation can still be used here because (*i*) heat diffusion at the scale of asperities is expected to control fault weakening when $D > D_{\rm c}$ and (*ii*) $\tau_{\rm a}$



Figure 5: a. Evolution of local shear stress (τ) , with slip velocity for one event. b. Temperature evolution with slip velocity at asperity scale compared with melting temperature of PMMA ($T_{\rm m} = 160$). c. Slip dependence of breakdown work (curves are normalized respectively by $G_{\rm c}$ and $D_{\rm c}$). $W_{\rm bd}$ evolution exhibits two power laws with exponents of 2 and 0.6. The experimental curves are all described by the asymptotic solutions related to an adiabatic regime for small D and a diffusive regime for large D (Brantut and Viesca, 2017). The dotted black line shows the expected evolution of $W_{\rm bd}$ assuming LEFM at the strain gauges position.

increases with τ_0 , through the increase of $\frac{a}{\Delta L_{\rm asp}}$ with $\sigma_{\rm n}$.

Assuming our experimental estimate of $C_{\rm f}$, this asymptote well describes the second branch of the evolution of $W_{\rm bd}$ with D (power law with an exponent of 2/3, Fig.5c). Such scaling is also observed at large slip for thermal pressurization in drained conditions, suggesting that this exponent is related to diffusion mechanisms regulating the weakening of faulting during seismic slip (Brantut and Viesca, 2017; Viesca and Garagash, 2015).

Importantly, an energy dissipation $W_{\rm bd}$ greater than the fracture energy 329 $G_{\rm c}$ was already observed in Barras et al. (2020) for sliding interfaces whose 330 frictional behavior is described by a rate-and-state friction law. Despite this 331 excess, the rupture dynamics where well described by a conventional LEFM 332 analysis (with $\xi = -0.5$). This was later justified by Brener and Bouchbinder 333 (2021a), who showed that ruptures along interfaces obeying rate-and-state 334 friction displayed a singularity $\xi = -0.406 \simeq -0.5$, which corresponds to the 335 lower end. However, fault characteristics (e.g. roughness, fluid diffusivity, 336 etc.) and external factors such as initial stress state or on-fault tempera-337 ture can alter the friction law that controls interface slip (i.e. flash heating, 338 thermal pressurization, and others) and change the singularity observed near 339 the rupture accordingly. In the case of flash heating, the observed evolu-340 tion of breakdown work with slip generates, for example, a singularity order 341 $\xi = -0.25$ (Brantut and Viesca, 2017), which corresponds to the higher-end 342 exponents of Fig. 3. In our experiments, continuous values of exponents 343 ξ have been measured between ξ = -0.42 (rate-and-state) and ξ = -0.22344 (flash heating). This can be caused by the presence of a population of contact 345 asperities, each of which have a different size, experience a different normal 346

and shear stress, and reach thus a different value of temperature and slip velocity during rupture (implying that not necessarily all contact asperities experience flash heating) resulting, on average, in a smooth transition from rate and state frictional contact for the lower slip velocities (nearly conventional, $\xi = -0.5$) to flash heating for larger slip velocities (unconventional, $\xi = -0.25$).

353 7. Implications and conclusions

These experimental results show that the continuous weakening activated 354 along the fault can modify the singularity order governing displacement and 355 stress fields around the rupture tip, inducing a slip and scale-dependent 356 breakdown work, rather than a constant one. Moreover, this work high-357 lights from an experimental point of view that frictional rupture analysis in 358 the linear elastic fracture framework might not always be sufficient when fric-359 tional weakening mechanisms occur away from the rupture tip. Importantly, 360 as long as the residual stress does not reach a steady-state value far from 361 the rupture tip, as happens for thermal weakening processes, the singular 362 fields will hardly recover the conventional square-root singularity, indepen-363 dently of the rupture size. One could nonetheless assess the dynamics of 364 such earthquakes, building on a Griffith criterion adapted to unconventional 365 singularities (see Eq. 7 (Brener and Bouchbinder, 2021b)). However, this 366 would involve both the fracture energy and the cohesive zone size that of-367 ten depends on the structural problem (loading conditions, fault geometry). 368 Furthermore, the activation of thermal mechanisms depends not only on the 369 rupture characteristics such as crack velocity but also on ambient conditions 370

(such as initial temperature) and possibly slip history controlling asperity
roughness and strength. As a result, both rupture dynamics and fault weakening are expected to be governed by fault geometry and rheology and may
vary depending on the natural environment.

Our new results highlight the difficulty in *a priori* estimating the relevant parameters governing the dynamics of the seismic rupture, expected to control the final rupture length (earthquake size). One may legitimately wonder whether theoretical models will be able to capture these complex behaviors, or whether numerical simulations, as proposed in recent studies, will be required instead (Lambert and Lapusta, 2020).

However, together with the recent development of the unconventional singularity theory (Brener and Bouchbinder, 2021b), our results open the door for a better understanding of the rupture dynamics and energy budget of natural earthquakes, through the possible evaluation of the equations of motions for unconventional rupture phenomena.

386 Appendix A.

For the estimate of $\Delta L_{\rm asp}$, a simplified description of the interface roughness is used, considering only one population of asperities of typical size a and height h, separated by an average distance $\Delta L_{\rm asp}$. The number of asperities was computed considering the following relationship $\frac{A_{\rm r}}{A_{\rm n}} = \frac{G_{\rm c}}{G_{\rm PMMA}}$ (values of $G_{\rm PMMA}$ coming from Vaseduvan et al., 2020), which lead to $N_{\rm 2D} =$ $\frac{G_{\rm c}}{G_{\rm PMMA}} \frac{A_{\rm n}}{\pi D_{\rm asp}^2/4}$.

Assuming an equidistant spacing between the asperities in both direc-393 tions, the total number of asperities can be written as $N_{2D} = N_x N_y$ with N_x 394 and N_y respectively the number of rows and columns of asperities located in 395 the x and y directions. The latter numbers are related to the interface dimen-396 sions through $\frac{N_x}{N_y} = \frac{L_f}{W_f}$, with L_f and W_f respectively the length and width 397 of the interface. Considering this as a 1-D problem, the number of asperities 398 along the interface in the slip direction reads $N_{1D} = \sqrt{N_{2D} \frac{L_f}{W_f}}$. The distance 399 between two asperities could then be estimated as $\Delta L_{asp} = \frac{L_{f} - N_{1D} D_{asp}}{N_{1D} + 1}$. The 400 contact stress at the origin of the change in temperature of asperities during 401 the seismic slip can be expressed as $\tau_{\text{eff}} = \tau_{\text{c}} \frac{a}{\Delta L_{\text{asp}}}$. 402

403 Appendix B.

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